

## In the United States Patent and Trademark Office



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Bryon P. Day et al.

Docket No.:

19589

Serial No.:

10/749,681

Group:

1711

Confirmation No:

2161

Examiner:

Nathan M. Nutter

Filed:

December 31, 2003

For:

Improved Thermal Stabilization and Processing Behavior of

Block Copolymer Compositions by Blending, Applications Thereof,

and Methods of Making Same

Information Disclosure Statement Pursuant to 37 C.F.R. 1.97(c) (1)

Before Final Rejection or Notice of Allowance

Certification Under 37 C.F.R. 1.97(e)(1) - Items Contained In a Search Report

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. 1.56 and in accordance with 37 C.F.R. 1.97 et seq., Applicants, through and by their attorneys, hereby wish to direct the Examiner's attention to the hereinafter disclosed documents.

By inclusion of any given document in this Information Disclosure Statement, Applicants in no way admit that such document is effective as prior art against the above-identified application under either 35.U.S.C. 102 or 35 U.S.C. 103.

Additionally, submission of any document is not to be taken as an admission of the materiality of the document to the prosecution of the present application.

The Examiner is requested to review each cited document and personally determine its prior art status.

Applicants recommend that the Examiner conduct an independent search for any and all available material prior art and independently review the results of such search and the documents cited within this Statement.

Attached is a filled-in modified PTO-1449 form listing all of the documents cited in this Statement. A copy of each non-U.S. Patent document and of each non-patent document listed on the form is also presented herewith for the Examiner's review and convenience. Cited U.S. patents and U.S. patent application publications are not included in accordance with the U.S. Patent Office waiver of the requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each

Serial No. 10/749,681

U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003.

In accordance with 37 C.F.R. 1.97(e)(1), the undersigned certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Applicants request the Examiner, in accordance with 37 C.F.R. 1.97, to indicate and make of record receipt and review of all of these documents by initialing the appropriate box in the accompanying PTO-1449. Applicants request that the undersigned receive a copy of the initialed PTO-1449.

If the Examiner refuses to consider any or all of the herein listed materials because it is the Examiner's opinion that this Information Disclosure Statement is not in compliance with 37 C.F.R. 1.97, Applicants respectfully request that the Examiner notify the undersigned, in writing, as to the basis of such opinion.

Please charge any prosecutional fees which are due to Kimberly-Clark Worldwide, Inc. deposit account number 11-0875.

The undersigned may be reached at: (770) 587-8626.

Respectfully submitted,

BRYON P. DA

Richard M. Shane

Registration No.: 50,921

**CERTIFICATE OF MAILING** 

I, Richard M. Shane, hereby certify that on <u>September 30, 2005</u> this document is being deposited with the United States Postal Service as first-class mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Bv:

Richard M. Shane



## List of Patents and Publications for Information Disclosure Statement

Bryon P. Day et al.

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			U.S. PATENT	DOCUMENTS				_	
Initials		Doc. No.	Date	Name	Class	Subclass	Filing	Date	
	A1_	6,025,071	2/15/2000	Cameron et al.					
•	A2	6,162,859	12/19/2000	Lu et al.					
			Foreign Pater	nt Documents					
							Trans.		
Initials		Doc. No.	Date	Country	Class	Subclass	Yes	No	
	A1	EP 0634453 A2	1/18/1995	EP					
	A2	EP 0854174 A1	7/22/1998	EP					
	A3	EP 1333043 A1	8/6/2003	EP					
•	A4	FR 2686887	8/6/1993	FR (w/English abstract)				X	
	A5	GB 2270915	3/30/1994	GB					
	A6	WO 95/00586	1/5/1995	WO-PCT				ļ	
	A7	WO 02/22733 A2	3/21/2002	WO-PCT					
			Other Do	cuments					
Initials		Author, Title, Date, Pages, etc.							
	A1_	Patent Abstracts of Japan, JP 58029842, 2/22/1983, MITSUBISHI PETROCHEM CO LTD.							
•	A2	Patent Abstracts of Ja	apan, JP 04270	746, 9/28/1992, TOSOH	CORP.				
	A3	Patent Abstracts of Ja	apan, JP 07070	380, 3/14/1995, MITSUI I	PETRO	CHEM IND I	_TD.		
	A4	Patent Abstracts of Ja	apan, JP 083246	676, 12/10/1996, SUMITO	OMO BA	KELITE CO	LTD.		
	A5	Patent Abstracts of Ja	apan, JP 11106	565, 4/20/1999, SHIBAS	AKI SEIS	SAKUSHO:	KK.		
	A6	Patent Abstracts of Ja	apan, JP 20002	39527, 9/5/2000, NIPPOI	N MITSU	JBISHI OIL	CORP.		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

e Considered
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